

REMARKS

The enclosed is responsive to the Examiner's Office Action mailed on February 17, 2010. By way of the present response applicants have: 1) amended no claims; 2) added no claims; and 3) canceled no claims. No new matter has been added. Reconsideration of this application as amended is respectfully requested.

Claim Rejections – 35 U.S.C. §103

Claims 1, 3-5, 7-9, 13, 15-17, 19, 21-24, and 27-29 stand rejected under 35 U.S.C. §103(a) as being unpatentable over WO 02/073877A2 by Brandys ("Brandys") in view of U.S. Patent No. 4,887,296 by Horne ("Horne") and further in view of U.S. Patent No. 7,434,051 B1 by Montenegro ("Montenegro"). Applicants do not admit that Montenegro is prior art and reserve the right to swear behind Montenegro at a later date.

Brandys describes a smart card that verifies biometric information and digitally signs messages upon authenticating a user. In particular, Brandys describes that the smart card signs a message by hashing the message to create a message digest, which is then encrypted with a private key to create a digital signature. A recipient of the message can verify the signature by using a public key.

Horne describes a broadcast satellite system for encrypting audio signals transmitted to a large number of receivers. In particular, Horne describes distributing audio encrypted with a common key. Individual keys for each receiver are generated by encrypting the address number of each receiver using a master key. The common key is then encrypted using each individual key and transmitted along with the encrypted audio.

Montenegro describes a method for authenticating a Crypto-Based Identifier (CBID) that is transmitted over a public network without a public key infrastructure. In particular, Montenegro describes translating the received CBID is translated using a One-Time Password (OTP) dictionary to display a string of words to the user at the receiving device. The receiving user then verifies the string of words with the sending user - e.g., via a verbal communication.

Applicants respectfully submit that Brandys does not teach or suggest a combination with Horne and that Horne does not teach or suggest a combination with Brandys. Brandys describes appending a digital signature to a message - e.g., to a single recipient - based on a private/public key infrastructure and biometric data of the sender so that the sender of the message can be authenticated. In contrast, Horne describes broadcasting encrypted audio in a satellite system to many users - e.g., thousands/millions of recipients. The Examiner alleges that the combination would be obvious because "Horne would have improved the teachings of Brandys by using a master key to encrypt generated keys in order to require only a single key to be protected instead of multiple generated keys." (Office Action dated 2/17/10, pages 6-7). Brandys, however, does not disclose protecting or otherwise storing multiple generated keys (e.g., keys for each recipient). Brandys describes storing a single private key/public key pair.

In response to the applicants previous submission of this argument, the Examiner argues that "[s]ince biometric information is unique to each user, separate keys are generated and stored for each user (i.e. multiple keys), thus providing motivation for the combination." (Office Action dated 2/17/10, page 2). Applicants respectfully disagree and submit that Brandys explicitly states that "[t]he smart card

100 is unique and specific to the user. One of the advantages of the smart card 100 is that it safeguards against forgery ...the smart card 100 is useless without its user.” (Brandys page 9, line 22 – page 10, line 3). Brandys emphasizes the importance of a unique smart card (i.e., one user per card) as a part of its user and data verification. Modifying Brandys as suggested by the Examiner would render Brandys unsatisfactory for its intended purpose. (MPEP § 2143.01V). Accordingly, applicants submit the combination is the result of impermissible hindsight based solely on applicants’ disclosure and that the Examiner has not articulated a reasoning with some rational underpinning to support the combination of Brandys and Horne.

Furthermore, applicants submit that Horne does not teach or suggest a combination with Montenegro and that Montenegro does not teach or suggest a combination with Horne. Horne describes broadcasting encrypted audio (of satellite TV broadcast) to many recipients - e.g., thousands/millions. Montenegro describes authentication between two users. Furthermore, Montenegro describes translating a CBID into a string that can be read by a user of the receiving device and verified with a user of the sending device so that two users who wish to communicate with each other can authenticate one another’s address/devices. Requiring every recipient of a satellite broadcast to read a translated string and call the broadcaster to authenticate the CBID each time a connection is established would render Horne unsatisfactory for its intended purpose. (MPEP § 2143.01V).

In response to the applicants previous submission of this argument, the Examiner argues that Montenegro describes response messages that “include a digital signature of the entire message (i.e. requested data) based on the private key

(i.e. generated key).” (Office Action dated 2/17/10, page 3). Montenegro describes a digital signature based upon the reply message. Montenegro does not disclose that the reply message or signature includes or is otherwise based upon the requested data (i.e., requested by the host and transmitted by the portable data storage device) or the generated key (i.e., generated and transmitted by the portable data storage device). Applicants respectfully submit that Montenegro describes that the signature included in a reply message is based upon the replying device’s own private key – not the sending device’s private key. Additionally, Horne does not disclose the transmission end of its broadcasting system receiving, or having the ability to receive, any messages from the recipients of the broadcast – i.e., Horne describes communication in a single direction only. Horne does not describe authentication of each receiver node (as alleged by the Examiner). Accordingly, applicants submit the combination is the result of impermissible hindsight based solely on applicants’ disclosure and that the Examiner has not articulated a reasoning with some rational underpinning to support the combination of Horne and Montenegro.

Even if Brandys, Horne, and Montenegro were combined, the combination would at least fail to disclose

wherein the portable data storage device is further arranged to receive **from the host** a digital signature **based on the generated key and the requested data transmitted to the host from the portable storage device**, the portable storage device, based on the digital signature, to verify that the requested data has been correctly received by the host.

(Claim 1) (emphasis added).

Applicants agree with the Examiner that Brandys and Horne fail to disclose this claim feature. Applicants, however, disagree with the allegation that Montenegro does. Montenegro describes both the recipient and the sender devices using the OTP dictionary to translate a CBID into human-recognizable string of words so that the users of each device can read and compare the strings - e.g., face-to-face, by telephone, etc. The Examiner alleges that Montenegro's response message is equivalent to the claimed requested data and that the private key associated with the device is equivalent to the claimed generated key. Applicants respectfully disagree and submit that Montenegro does not describe that the device (e.g., 103) that receives the CBID sends a digital signature ***based on the generated key and the requested data*** to the device (e.g. 101) that sent the CBID. Montenegro does not describe that the reply message or signature includes or is otherwise based upon the requested data (e.g., requested by device 103 and transmitted by device 101) or the generated key (i.e., generated and transmitted by device 101). Montenegro only describes the contents of the reply message as including the replying device's (e.g., device 103) CBID, a NONCE, the replying device's (e.g., device 103) public key, and a digital signature. (Montenegro, col. 5, lines 27-31 and Fig. 5). Montenegro describes that the signature is based upon the replying device's (e.g., device 103) own private key – not the sending device's (e.g., device 101) private key.

Accordingly, applicants submit that the rejection of claim 1 has been overcome. Given that independent claims 16 and 19, while different from claim 1, contain features similar to claim 1 as discussed above, applicants respectfully

submit that the rejection of claims 16 and 19 has been overcome for at least the reasons set forth above.

Given that claims 3-5, 7-9, 13, 15, 17, 21-24 and 27-29 are dependent claims with respect to claims 1, 16, and 19, and include additional features, applicants respectfully submit that the rejection of claims 3-5, 7-9, 13, 15, 17, 21-24 and 27-29 has been overcome for at least the same reasons set forth above.

Claims 10 and 30 stand rejected under 35 U.S.C. §103(a) as being unpatentable over Brandys, Horne, and Montenegro as applied to claims 1 and 19, and further in view of U.S. Patent Pub No. 2003/0161468 A1 by Iwagaki et al., (“Iwagaki”). Applicants do not admit that Iwagaki is prior art and reserve the right to swear behind Iwagaki at a later date.

Claims 10 and 30 are dependent upon claims 1 and 19 respectively. Applicants respectfully submit that Iwagaki does not remedy the shortcomings of Brandys, Horne, and Montenegro discussed above. Accordingly, applicants respectfully submit that the rejection of claims 10 and 30 has been overcome for at least the reasons set forth above.

Claims 11, 12, and 14 stand rejected under 35 U.S.C. §103(a) as being unpatentable over Brandys, Horne, and Montenegro as applied to claim 1, and further in view of U.S. Patent 6,536,941 by Fang, (“Fang”).

Claims 11, 12, and 14 are dependent upon claim 1. Applicants respectfully submit that Fang does not remedy the shortcomings of Brandys, Horne, and Montenegro discussed above. Accordingly, applicants respectfully submit that the

rejection of claims 11, 12, and 14 has been overcome for at least the reasons set forth above.

CONCLUSION

Applicants respectfully submit that in view of the amendments and arguments set forth herein, the applicable objections and rejections have been overcome.

Applicants reserve all rights under the doctrine of equivalents.

Pursuant to 37 C.F.R. 1.136(a)(3), applicants hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 C.F.R. 1.16 and 1.17, to Deposit Account No. 02-2666.

Respectfully submitted,

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Date: June 17, 2010

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